

Fraud Risk Workshop

For Finance and Audit Professionals

Finance managers, auditors, and accountants possess the unique ability to defend against fraud, protect leaders from surprises, and help keep their organizations from landing on the front page of the *Wall Street Journal* for the wrong reasons. This [two-day workshop](#) offers the knowledge and skills necessary to detect and prevent fraud. The practical methods and techniques give participants valuable solutions for addressing difficult issues. Key learning points are reinforced by case studies and exercises.

Attendees will learn how to recognize and address risks before they cause severe damage. You will receive practical solutions for weaving fraud detection into your daily activities to maintain process flow while controlling against wrongdoing. You will leave the session with an understanding of fraud within your unique organization, how to recognize symptoms of wrongdoing, and which methods most effectively detect those symptoms.

Understanding Dishonest and Fraudulent Activity

- Why fraud persists
- Establishing responsibility for fraud detection
- Essential items to include in a fraud policy
- Exploring who steals and factors impacting integrity
- Five dangers to avoid

Fraud Strategies and Tactics

- Fraud-related expectations for managers and auditors
- Evaluating your environment for handling allegations
- How allegations surface
- Problem identification and communication
- Why people fail to report suspected wrongdoing
- How to effectively balance fraud matters with trust and the organization's mission
- Establishing fraud response protocols and responsibilities
- Learning lessons from current cases

Identifying & Recognizing Fraud

- Responding to Executive concerns
- Over-reliance on sampling and control reviews
- The five-step approach to fraud detection
- Case examples of frauds prevalent across standard business processes
- Common symptoms of fraud
- How to recognize symptoms derived from your key risks
- Becoming 'street smart' in detecting symptoms
- Practical applications of behavioral red flags
- Creative approaches to detection

Systemic Fraud

- Common systemic problems
- The waterfall effect or how a disaster unfolds
- Why organizational systems matter
- Six systemic behaviors that corrupt control environments

Detecting Fraud

- Building detection into routine activities
- Solutions for detecting operational frauds such as cash theft, false vendors, and ghosts on the payroll; and for detecting systemic frauds such as fraudulent financial reporting, bribes and kickbacks, and corrupt sales practices
- Understanding data and use of data analytics in fraud detection
- Preventing fraud through organizational wisdom, the four elements of discipline, and thematic categories of operational success
- Taking detection a step further
- Accountability for managers, finance and audit professionals

About the Seminar Leaders

Scott Langlinais, CPA delivers seminars worldwide teaching concepts that finance professionals, auditors and investigators have used to successfully prevent, detect and respond to wrongdoing. He works with executives and managers to design and implement strategies for establishing a control environment hostile towards fraud. Scott received a BBA degree from the University of Notre Dame.

Courtenay Thompson is a recognized authority on training managers, auditors and investigators in fraud-related matters. He has designed and presented courses on fraud prevention, detection and investigation for business and government organizations worldwide. His courses are known for providing practical proven approaches to real problems. Courtenay received his BBA and MBA degrees from Southern Methodist University and is a CPA.

About the Seminar Sponsor

Courtenay Thompson & Associates provides professional development training on topics including fraud, data analysis, construction audit and cost control, contract auditing, internal audit practices and personal skills for professional success. Sessions are led by instructors with extensive work experience in the topic being taught. Experience and solid course design combine with training expertise to assure a high quality learning experience.

All participants are eligible to receive up to 16 Continuing Education Credits (CPE's) to fulfill professional accreditation requirements. Field of Study: Accounting 8, Auditing 8. Course Level: Basic. Group-live, no prerequisites or advanced preparation required. For information regarding CPE, complaint, or program cancellation, please contact our office at 214-361-8346.



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